

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re: Daniel L. Krissell

Serial No.: To be assigned

Filed: Concurrently herewith

For: **METHODS, SYSTEMS AND COMPUTER PROGRAM PRODUCTS FOR CONTROLLING DATA TRANSFER FOR DATA REPLICATION OR BACKUP BASED ON SYSTEM AND/OR NETWORK RESOURCE INFORMATION**

July 9, 2003

Mail Stop Patent Application  
Commissioner for Patents  
PO Box 1450  
Alexandria, VA 22313-1450

**INFORMATION DISCLOSURE STATEMENT**

Sir:

Attached is a list of documents on form PTO-1449 together with a copy of each identified document. It is requested that these documents be considered by the Examiner and officially made of record in accordance with the provisions of 37 C.F.R. § 1.97 and Section 609 of the MPEP. The Commissioner is hereby authorized to charge any additional fee, which may be required, or credit any refund, to IBM Deposit Account No. 09-0461.

Respectfully submitted,



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I hereby certify that this correspondence is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to: Mail Stop PATENT APPLICATION, Commissioner for Patents, PO Box 1450, Alexandria, VA 22313-1450.

  
Carey Gregory

|   |     |                    |          |                  |                                    |          |                                  |  |
|---|-----|--------------------|----------|------------------|------------------------------------|----------|----------------------------------|--|
| <b>FORM PTO-1449</b> U.S. Department of Commerce<br>Patent and Trademark Office<br><br><b>LIST OF DOCUMENTS CITED BY APPLICANT</b><br><br>(Use several sheets if necessary) |     |                    |          |                  | Attorney Docket Number<br>5577-257 |          | Serial No.<br>To be assigned     |  |
|   |     |                    |          |                  | Applicants: Daniel L.Krissell      |          |                                  |  |
|   |     |                    |          |                  | Filing Date: Concurrently herewith |          | Group<br>unknown                 |  |
| U. S. PATENT DOCUMENTS  |     |                    |          |                  |                                    |          |                                  |  |
| Examiner<br>Initial   |     | Document<br>Number | Date     | Name             | Class                              | Subclass | Filing Date<br>if<br>Appropriate |  |
|   | 1.  | 2003/0041074       | 2/27/03  | Vasudevan et al. | 707                                | 204      |                                  |  |
|   | 2.  | 2002/0049776       | 4/25/02  | Aronoff et al.   | 707                                | 200      |                                  |  |
|   | 3.  | 2002/0091814       | 7/11/02  | Arendt et al.    | 709                                | 223      |                                  |  |
|   | 4.  | 2002/0174139       | 11/21/02 | Midgley et al.   | 707                                | 204      |                                  |  |
|   | 5.  | 6,421,688          | 7/16/02  | Song             | 707                                | 203      |                                  |  |
|   | 6.  | 6,415,027          | 7/2/02   | Malik            | 379                                | 221.01   |                                  |  |
|   | 7.  | 6,330,570          | 12/11/01 | Crighton         | 707                                | 204      |                                  |  |
|   | 8.  | 6,263,201          | 7/17/01  | Hashimoto et al. | 455                                | 403      |                                  |  |
|   | 9.  | 6,038,665          | 3/14/00  | Bolt et al.      | 713                                | 176      |                                  |  |
|   | 10. | 5,974,563          | 10/26/99 | Beeler Jr.       | 714                                | 5        |                                  |  |
|   | 11. | 5,920,701          | 7/6/99   | Miller et al.    | 395                                | 200.58   |                                  |  |
|   | 12. | 5,854,754          | 12/29/98 | Cabrera et al.   | 364                                | 578      |                                  |  |
|   | 13. | 5,758,359          | 5/26/98  | Saxon            | 707                                | 204      |                                  |  |
|   | 14. | 5,758,067          | 5/26/98  | Makinen et al.   | 395                                | 185.07   |                                  |  |
|   | 15. | 5,212,772          | 5/18/93  | Masters          | 395                                | 200      |                                  |  |
| FOREIGN PATENT DOCUMENTS  |     |                    |          |                  |                                    |          |                                  |  |
|   |     | Document<br>Number | Date     | Country          | Class                              | Subclass | Translation<br>Yes   No          |  |
|   | 16. | 9237212            | 9/9/97   | JP               |                                    |          | abstract                         |  |
|   | 17. | 03/005158          | 1/16/03  | WO               |                                    |          |                                  |  |
|   | 18. | 02/46873           | 6/13/02  | WO               |                                    |          |                                  |  |
| OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)  |     |                    |          |                  |                                    |          |                                  |  |
|   |     |                    |          |                  |                                    |          |                                  |  |
|   |     |                    |          |                  |                                    |          |                                  |  |

EXAMINER

DATE CONSIDERED

\*EXAMINER

Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.